

## **Title: Entry-level Registered Representative**

Work site:

Hanseatic Management Services,  
5600 Wyoming Blvd. NE,  
Suite 220,  
Albuquerque, NM 87109

Duties: Under close supervision, sell financial products and services, including securities, commodities and investment planning, to business and individual clients of Registered Investment Advisor with Securities and Exchange Commission. Identify potential clients and present investment opportunities, including stocks, bonds, mutual funds, and commodities. Apply knowledge of securities, investment plans, financial market conditions, regulations and client financial situation to recommend investment strategies. Maintain communication with current and prospective clients, including sales materials, investor letters, database maintenance, due diligence questionnaires and requests for proposals. Participate in monthly and quarterly client portfolio reviews and market outlook. Participate in investor meetings, presentations and/or seminars. Report regularly to management regarding sales, marketplace and industry trends, and current and prospective clients.

Requirements: Bachelor's degree in Finance or related field, and passing score on Series 65 exam.

To apply: Send resume to [info@hanseaticgroup.com](mailto:info@hanseaticgroup.com)